RECEIVEL A JAN 2007

## SIEMENS

#### **FACSIMILE COVER SHEET**

#### In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Guido Gentner

Application No.: 10/510,973

Atty. Docket No. 2002P06169WOUS

Filed: October 8, 2004

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL IN AN OPTICAL TRANSMISSION

SYSTEM

Examiner: Not Yet Assigned Art Unit: Not Yet Assigned

► FACSIMILE ATTN TO: CYNTHIA M. KRATZ FAX NO.: 571-273-0459

OFFICE OF PCT LEGAL ADMINISTRATION, MAIL STOP PCT

RENEWED REQUEST FOR WITHDRAWAL OF NOTIFICATION OF ABANDONMENT

#### **Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on January 4, 2007.

Ann Hickey (

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- ▶This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)
- ► PTO/SB/21 Transmittal (1 pg.)
- ▶ Renewed Request for Withdrawal of Notification of Abandonment (3 pgs.);
- ▶ Declaration of Ann Hickey in Support of Renewed Request to Withdrawal of Notification of Abandonment (2 pgs)

▶ Request for Withdrawal of Notification of Abandonment (13 pgs.)

Number of pages being transmitted (including this cover sheet): 20 pgs

The information contained in this facsimile message may contain attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us. Thank you.

**Siemens Corporation** 

Legal and Intellectual Property Department

4400 Alafaya Trail Orlando Florida 32826 Tel: 407-736-2472 FAX: 407-736-6440

NO. 5470;B/21,P. 2
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM		Application Number	∍r	10/510,973		7
		Filing Date	Filing Date October 8, 2004		, 2004	$\Box$
		First Named Inven	tor	Guido Gentner		
		Art Unit		Not Yet As	ssigned	7
(to be used for all correspondence after initial filing)		Examiner Name		Not Yet Assigned		٦
Total Number of Pages in This Sub		Attorney Docket N	umber	2002P06169WOUS		ノ
ENCLOSURES (check all that apply)						
Fee Transmittal Form	☐ Drawin	g(s)		After All	owance Communication to TC	٦
Fee Attached	Licensi	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		ł
Amendment / Reply	endment / Reply				Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Propriet	ary Information	
Affidavits/declaration(s)	Power Change	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension of Time Request		al Disclaimer			inclosure(s) dentify below):	İ
Express Abandonment Reque	est	st for Refund		1) Facsimile certificate of 1.8	e Cover Sheet including a of transmission under 37 CF	R
Information Disclosure Statem	ient	CD, Number of CD(s)  Landscape Table on CD		2) A Copy of a Declaration signed by Ann Hickey in Support of Renewed Request for Withdrawal of Notification of Abandonment		
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts Under 37 CFR1.52 or 1.53  Remarks  Remarks Remarks Renewed Request for Withdrawal of Notification of Abandonment, Declaration of Ann Hickey in Support of Renewed Request for Withdrawal of Notification of Abandonment and copies of Request for Withdrawal of Notification of Abandonment faxed on July 25, 2005 (13 pgs.) are being filled.						
	SIGNATURE O	F APPLICANT, ATTO	RNEY. OI	RAGENT		┪
Firm		SIEMENS				
Sìgnature	MMuss				7	
Printed Name		JOHN P. MUSONE				
Date JANUARY 4		Y 4, 2007	Reg. No.	44,961		
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Signature Quatickey						
Typed or printed name AN	N HICKEY	0		Date	JANUARY 4, 2007	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

## **SIEMENS**

PATENT Attorney Docket No. 2002P06169WOUS

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:	
Inventor:	G. Gentner et al.	
Serial No.:	10/510,973	) Group Art Unit: Not Yet Assigned )
Filed:	October 08, 2004	) Examiner: Not Yet Assigned )
Title:	METHOD FOR DETE	CTING A CHECK-BACK SIGNAL IN AN

Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# RENEWED REQUEST FOR WITHDRAWAL OF NOTIFICATION OF ABANDONMENT

On 21 December 2006, Cynthia M. Kratz, Attorney Advisor of the PCT Legal Administration Office dismissed Applicant's 13 page "Request for Withdrawal of Notification of Abandonment" filed on July 25, 2006 ("Request") without prejudice and indicated that the application is being held in the PCT Legal Office to await Applicant's further reply.

Serial No. 10/510,973

Atty. Doc. No. 2002P06169WOUS

Attorney Advisor Kratz' Decision was based on two findings:

- (1) that the Request was not personally signed by the practitioner and thus is not an acceptable filing; and
  - (2) that the Request did not meet the requirements of 37 CFR 1.8(b).

Applicants respectfully respond to the Decision as follows:

(1) the enclosed Renewed Request for Withdrawal of Notification of Abandonment is personally signed by the practitioner and is thus an acceptable filing. (Applicants respectfully note that it misunderstood the Office's July 25, 2006 request by Ms. Evans to refax the originally filed Response to Notification of Missing Requirements as an informality not requiring the practitioners signature).

(2)

- (a) Applicants learned of the Office having no evidence of receipt of the correspondence on July 20, 2006 (Request pg. 2 copy attached) and informed the Office of such on July 25, 2006 (Request pg. 1 copy attached) via the Request;
- (b) Applicants supplied an additional copy of the previously faxed transmittal Missing Requirements submission (Request pgs. 3-11 copy attached); and
- (c) Applicants herewith provide a declaration from the person who executed the Certificate of Transmission on the responsive Missing Requirements materials, Ann Hickey, confirming that she personally transmitted the responsive Missing Requirements materials on the June 16, 2005 date indicated by the Certificate of Transmission.

Applicants respectfully requests consideration of its Renewed Request for Withdrawal and no fee required. However, the Commissioner is hereby authorized to charge any appropriate fees due in connection with this reconsideration paper, including the fees specified in 37 C.F.R. §§ 1.16 (c), 1.17(a)(1) and 1.20(d), or credit any overpayments to Deposit Account No. 19-2179.

Serial No. 10/510,973

Atty. Doc. No. 2002P06169WOUS

Respectfully submitted,

Dated: 1/4/17

By: John P. Musone

Registration No. 44,961 (407) 736-6449

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, New Jersey 08830

## **SIEMENS**

PATENT Attorney Docket No. 2002P06169WOUS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:			
Inventor:	G. Gentner et al.	)	Group Art Ur	nit: Not Yet Assigned
Serial No.:	10/510,973	)	Examiner:	Not Yet Assigned
Filed:	October 8, 2004	Ś		
Title:	METHOD FOR DETE OPTICAL TRANSMI			SIGNAL IN AN

Commissioner for Patents Office of PCT Legal Administration PO Box 1450 Washington, D.C. 20231-1450

Sir:

# DECLARATION OF ANN HICKEY IN SUPPORT OF RENEWED REQUEST TO WITHDRAWAL OF ABANDONMENT

- I, Ann Hickey, do hereby declare as follows:
- 1. I am an intellectual property specialist that prepares and files documents on behalf of Siemens Aktiengesellschaft and its corporate affiliates. I currently assist patent attorney John P. Musone and formerly assisted limited recognition patent agent Georg P. Zeis who are of record for the above-referenced patent application.
- 2. On June 16, 2005, prior to personally facsimile filing the Response To Notification of Missing Requirement signed by Georg Zeis on June 16, 2005, I signed the

JAN. 4. 2007 4: 25PM 407-736-6440 NC

Serial No. 10/510,973

Atty. Doc. No. 2002P06169WOUS

Certification of Mailing stating "I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on June 16, 2005. I then personally facsimile filed the Response to the attention of Charitta A. Burt of the PCT

Missing Parts Office at 703-746-6704 on June 16, 2005.

3. I declare that all statements, made herein of my own knowledge are true and

that all statements made on information and belief are believed to be true; and further that

these statements were made with knowledge that willful, false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of

the United States Code and that such willful, false statements may jeopardize the validity

of the application or patent issuing therefrom.

Respectfully submitted,

Dated: <u>Jan. 4, 2007</u>

Ann Hickey

JAN. 4. 2007 4:26PM 407-736-6440 NO. 5470

COMMUNICATION RESULT REPORT ( JUL. 25. 2006 2:26PM )

FAX HEADER 1: FAX HEADER 2: 407-736-6440

RANSMITTED/STORED : JUL. 25. 2006 TLE MODE OPTION ILE MODE

**ADDRESS** 

RESULT

PAGE

P. 8 P. 1

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USPTO

OK

13/13

REASON FOR ERRORP OR LINE FAIL NO ANSWER

E-2 BUSY E-4 NO FACSIMILE CONNECTION

#### SIEMENS

#### **FACSIMILE COVER SHEET**

#### PRIVILEGED AND CONFIDENTIAL

India L. Evans TO:

FROM:

Ann Hickey, IP Specialist ann hickey@siemens.com

Fax No.: 571-270-9880

Fax No:

407-736-6440

Phone:

407-736-7064

DATE: July 25, 2006

Number of Pages including cover page: 13

Re:

10/510,973 filling date: 10/08/2004 Attorney Docket No.: 2002P06169WOUS

First Named Applicant: Guldo Gentner

## REQUEST FOR WITHDRAWAL OF NOTIFICATION OF ABANDONMENT

Per our conversation this morning, attached please find the original fax to the USPTO responding to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated June 16, 2005.

It is respectfully requested that a Withdrawal of the Notification of Abandonment be issued.

If you need to contact me I can be reached at 407-736-7064. Thank you, in advance, for your assistance.

The information contained in this facsimile message may contain attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us. Thank you.

Siemens Corporation

Legal and Intellectual Property Department

4400 Alafaya Trall Orlando Florida 32826 Tel: 407-736-2472 FAX: 407-736-6440

Page 1



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

\*OC000000019569730\*

		Alexandria, Virginia 22313- www.uspto.gov	1450
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	TA A	TY. DOCKET NO.
10/510,973	Guido Gentner	200	2P06169WOUS
	1 See Box	INTERNATIONAL A	APPLICATION NO.
	NTELLECTUAL PROPERTY	PCT/DE03/01075	
ات دا ا	7-20-06	I.A. FILING DATE	PRIORITY DATE
Siemens Corporation Intellectual Property Department	2002 POU 169 WOUS	04/01/2003	04/12/2002
170 Wood Avenue South Iselin, NJ 08830			MATION NO. 6167
	<b>L</b> UA.	BANDONMENT/1	FERMINATION
	SAED .		

Date Mailed: 07/12/2006

#### NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

• Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 05/03/2005 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

JAN. 4. 2007 4:26PM 407-736-6440 NO. 5470 P. 10, 1

COMM....ICATION RESULT REPORT ( JUN. 16. 2005 3:54PM ) \*

FAX HEADER 1: 407-736-6440 FAX HEADER 2:

RANSMITTED/STORED : JUN. 16. 2005 ILE MODE OPTION ILE MODE

**ADDRESS** 

RESULT

PAGE

008 MEMORY TX

USPTO

OK

11/11

REASON FOR ERROR ... HANG UP OR E-3 NO ANSWER

E-2) BUSY E-4) NO FACSIMILE CONNECTION

#### SIEMENS

#### **FACSIMILE COVER SHEET**

In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Guido Gentner

Application No.: 10/510.973 Filed: October 08, 2004

METHOD FOR DETECTING A CHECK-BACK SIGNAL IN AN OPTICAL Title:

TRANSMISSION SYSTEM

**Examiner: Not Yet Assigned** 

Art Unit: Not Yet Assigned

FACSIMILE ATTN TO: CHARITTA A. BURT

FAX NO.: 703-746-6704

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on June 16, 2005

The Ann Hickey

Typed or printed name of n signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

►This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)

► Response to Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office bearing "date malled" of 05/03/2005 (2 pgs, original + 1 copy);

►Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States

Designated/Elected Office to be returned with response (2 pgs);

► Declaration for Patent Application, Power of Attorney & Designation of Correspondence Address (4 pgs)

➤ Authorization to Act in a Representative Capacity including copy of Limited Recognition Under

37 CFR § 11.9(b) (2 pgs.)

Number of pages being transmitted (including this cover sheet): 11 pgs

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Siemens Corporation

egal and Intellectual Property Department

4400 Alafaya Trail Orlando Florida 32828

Tel: 407-736-2472 FAX: 407-736-6440

# IDNR: 7034 / V: 03.05.20 / B: Val

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Guido Gentner

Serial No.: 10/510,973 Filed: October 08, 2004 Docket No.: 2002P06169WOUS Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL

IN AN OPTICAL TRANSMISSION SYSTEM

16.June.2005

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop Missing Parts
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

Sir:

This is a response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designed/Elected Office having a mailing date of 05/03/2005 and for which a period for response of two (2) months from the mailing date of said Notice is set to expire 07/03/2005.

Enclosed is a Declaration by the present Inventors and a copy of the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office.

Please charge Deposit Account No. 19-2179 for the surcharge of \$130.00 in accordance with 37 CFR 1.492(h). Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted,

Georg Zeis

LIMITED RECOGNITION - 4/21/2005-5/24/2006

6/16/2005

(407) 736-6170

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to 703-746-6704 at the Patent and Trademark Office on June 16, 2005.

Ann Hickey

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Guido Gentner

Serial No.: 10/510,973 Filed: October 08, 2004 Docket No.: 2002P06169WOUS Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL

IN AN OPTICAL TRANSMISSION SYSTEM

16.June.2005

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop Missing Parts Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

Sir:

This is a response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designed/Elected Office having a mailing date of 05/03/2005 and for which a period for response of two (2) months from the mailing date of said Notice is set to expire 07/03/2005.

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Please charge Deposit Account No. 19-2179 for the surcharge of \$130.00 in accordance with 37 CFR 1.492(h). Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted.

Georg Zeis

LIMITED RECOGNITION - 4/21/2005-5/24/2006

6/16/2005

(407) 736-6170

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to 703-746-6704 at the Patent and Trademark Office on June 16, 2005.

Ann Hickey



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Dec 1450 Alexandra, Viginia 22313-1450 www.uspto.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 10/510,973 Guido Gentner 2002P06169WOUS

INTERNATIONAL APPLICATION NO.

PCT/DE03/01075

LA. FILING DATE

PRIORITY DATE

04/01/2003

04/12/2002

**CONFIRMATION NO. 6167 371 FORMALITIES LETTER** 

\*OC000000015893906\*

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830

Date Mailed: 05/03/2005

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/08/2004
- English Translation of the IA filed on 10/08/2004
- Copy of the International Search Report filed on 10/08/2004
- Copy of IPE Report filed on 10/08/2004
- Copy of Annexes to the IPER filed on 10/08/2004
- Preliminary Amendments filed on 10/08/2004
- Information Disclosure Statements filed on 10/08/2004
- Oath or Declaration filed on 10/08/2004
- U.S. Basic National Fees filed on 10/08/2004
- Substitute Specification filed on 10/08/2004
- Priority Documents filed on 10/08/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### **CHARITTA A BURT**

Telephone: (703) 308-9140 EXT 207

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/510,973	PCT/DE03/01075	2002P06169WQUS	

FORM PCT/DO/EO/905 (371 Formalities Notice)